

RECEIVED
CENTRAL FAX CENTER

MAY 18 2004

OFFICIAL

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted to the U.S. Patent & Trademark Office
in accordance with 37 CFR § 1.6(d) on the date indicated.

Arnon Zielen
Name

May 14, 2004
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: M.K. Carpenter

Art Unit: 1632

Filing Date: May 31, 2001

Examiner: Anne-Marie Falk, Ph.D.

Serial No: 09/872,183

Docket: 094/004d

Title: MAKING NEURAL CELLS FOR HUMAN
THERAPY OR DRUG SCREENING FROM
HUMAN EMBRYONIC STEM CELLS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

PURSUANT TO 37 CFR § 1.97(c)(2)

Commissioner for Patents
Alexandria VA 22313

Dear Sir,

The information listed in the accompanying form PTO-1449 and provided herewith may be material to examination of this application and is submitted in compliance with the duty of disclosure under 37 CFR § 1.56. The Examiner is requested to make this information of record in the application.

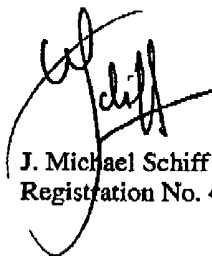
This Information Disclosure Statement is not to be construed as a representation that a full search for relevant information has been made, that all relevant information has been found, or that the information provided with this Statement is considered to be material to patentability of the claimed invention as defined under 37 CFR § 1.56(b).

PATENT
USSN 09/872,183
Docket 094/004d

This Information Disclosure Statement is being filed after the first Office Action on the merits, pursuant to 37 CFR § 1.197(c)(2). Authorization to charge Deposit Account 07/1139 with the fee therefor under 37 CFR § 1.117(p) is provided in the accompanying fee calculation sheet.

Should any other fees be required for further consideration of the application, the Commissioner is hereby authorized to charge such fees (or credit any overpayment) to the Deposit Account.

Respectfully submitted,



J. Michael Schiff
Registration No. 40,253

GERON CORPORATION
230 Constitution Drive
Menlo Park, CA 94025
Telephone: (650) 473-7715
Fax: (650) 473-8654

May 12, 2004

Form 1449 (modified) THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)	Docket: 094/004D U.S.S.N.: 09/872,183 Title: Making Neural Cells for Human Therapy or Drug Screening from Human Embryonic Stem Cells Inventor: Melissa K. Carpenter Filing Date: May 31, 2001 Group: 1632
---	--

U.S. PATENT DOCUMENTS

Examiner Initial	Ref.	Document No.	Filing Date	Publication Date	Class/ Subclass	Inventors	Title
None							

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial	Ref.	Document No.	Publication Date	Jurisdiction	Title	Translation
	FA	WO 01/98463	Dec 27/01	PCT	Method of Controlling Differentiation of Embryonic Stem (ES) Cells by Culturing ES Cells in the Presence of BMP-2 Pathway Antagonists	N/A

OTHER DOCUMENTS

Examiner Initial	Ref.	Author, Title, Source, Date
	FB	Wang S et al, Neural Cells Derived in Culture from Human Embryonic Germ (EG) Cells, Molecular Biology of the Cell, 9: suppl 437A (Nov) Abstract (XP-001015834)

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
PTO-1449 — Page 1